

# NORTH HERTFORDSHIRE DISTRICT COUNCIL



6 March 2020

Our Ref Finance, Audit and Risk Committee  
Contact. Committee Services  
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To: Members of the Committee: Councillors Kate Aspinwall (Chair), Sam North (Vice-Chair), Steve Deakin-Davies, Morgan Derbyshire, Steve Jarvis, Kay Tart and Michael Weeks

Substitutes: Councillors Sam Collins, George Davies, Ian Moody and Adem Ruggiero-Cakir

**NOTICE IS HEREBY GIVEN OF A**

**MEETING OF THE FINANCE, AUDIT AND RISK COMMITTEE**

to be held in the

**COUNCIL CHAMBER, COUNCIL OFFICES, GERNON ROAD,  
LETCWORTH GARDEN CITY**

On

**MONDAY, 16TH MARCH, 2020 AT 7.30 PM**

Yours sincerely

Jeanette Thompson  
Service Director – Legal and Community

**\*\*MEMBERS PLEASE ENSURE THAT YOU DOWNLOAD ALL AGENDAS AND REPORTS VIA THE MOD.GOV APPLICATION ON YOUR TABLET BEFORE ATTENDING THE MEETING\*\***

## **Agenda** **Part I**

<b>Item</b>	<b>Page</b>
<b>1. APOLOGIES FOR ABSENCE</b>	
<b>2. MINUTES - 20 JANUARY 2020</b> To take as read and approve as a true record the minutes of the meeting of the Committee held on the 20 January 2020.	(Pages 5 - 10)
<b>3. NOTIFICATION OF OTHER BUSINESS</b> Members should notify the Chair of other business which they wish to be discussed at the end of either Part I or Part II business set out in the agenda. They must state the circumstances which they consider justify the business being considered as a matter of urgency.  The Chair will decide whether any item(s) raised will be considered.	
<b>4. CHAIR'S ANNOUNCEMENTS</b> Members are reminded that any declarations of interest in respect of any business set out in the agenda, should be declared as either a Disclosable Pecuniary Interest or Declarable Interest and are required to notify the Chair of the nature of any interest declared at the commencement of the relevant item on the agenda. Members declaring a Disclosable Pecuniary Interest must withdraw from the meeting for the duration of the item. Members declaring a Declarable Interest, wishing to exercise a 'Councillor Speaking Right', must declare this at the same time as the interest, move to the public area before speaking to the item and then must leave the room before the debate and vote.	
<b>5. PUBLIC PARTICIPATION</b> To receive petitions, comments and questions from the public.	
<b>6. SIAS INTERNAL AUDIT PLAN REPORT</b> To receive and approve the proposed Internal Audit Plan 2020/21.	(Pages 11 - 28)
<b>7. SIAS PROGRESS REPORT</b> To receive and note the Shared Internal Audit Service (SIAS) Progress Report.	(Pages 29 - 48)
<b>8. SAFS - PROPOSED ANTI-FRAUD PLAN 2020/21 AND PROGRESS WITH DELIVERY OF 2019/20 ANTI-FRAUD PLAN</b> To review and approve the Shared Anti-Fraud Service (SAFS) Anti-Fraud Plan 2020/21.	(Pages 49 - 90)

9. **LOCAL CODE OF CORPORATE GOVERNANCE 2020 AND ANNUAL GOVERNANCE STATEMENT 2018/19 (ACTION PLAN FOR 2019/20) UPDATE** (Pages 91 - 110)  
 THE POLICY & COMMUNITY ENGAGEMENT MANAGER
- To receive the Local Code Of Governance and progress against the Annual Governance Statement Action plan for 2019-20.
10. **RISK AND OPPORTUNITIES MANAGEMENT UPDATE** (Pages 111 - 150)  
 REPORT OF THE SERVICE DIRECTOR - RESOURCES
- To receive a third quarter update on the Risk and Opportunities Management at North Hertfordshire District Council.
11. **THIRD QUARTER REVENUE MONITORING 2019/20** (Pages 151 - 162)  
 REPORT OF THE SERVICE DIRECTOR - RESOURCES
- To consider the Third Quarter Revenue Budget Monitoring Report for 2019/20.
12. **THIRD QUARTER INVESTMENT STRATEGY (CAPITAL AND TREASURY) REVIEW 2019/20** (Pages 163 - 192)  
 REPORT OF THE SERVICE DIRECTOR – RESOURCES
- To update the Committee on progress with delivering the Capital and Treasury Strategy for 2019/20 as at the end of December 2019.
13. **POSSIBLE AGENDA ITEMS FOR FUTURE MEETINGS**  
 The Chair to lead a discussion regarding possible agenda items for future meetings.